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Docket No.: 06005/38043A  
(PATENT)

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Letters Patent of:  
Ashish Mehta et al.

Patent No.: 7,050,863

Issued: May 23, 2006

For: INTEGRATED MODEL PREDICTIVE  
CONTROL AND OPTIMIZATION WITHIN A  
PROCESS CONTROL SYSTEM

**REQUEST FOR CERTIFICATE OF CORRECTION  
PURSUANT TO 37 CFR 1.323**

Attention: Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Upon reviewing the above-identified patent, Patentee noted typographical errors which should be corrected.

On the First Page:

In the Declaration, page 2, First Inventor, PTO error, "Austin" should be --  
Round Rock --.

On the Second Page:

In the IDS, Sheet 2, Reference 28, PTO error, ";No." should be -- No. --.

In the Drawings:

02/15/2007 CNEGA1 00000030 7050863

01 FC:1811

100.00 OP

At Sheet 3, Fig. 3, 6<sup>th</sup> text box, Applicant error, "AUXILLARY" should be --  
AUXILIARY --.

FEB 21 2007

Certificate  
FEB 20 2007  
of Correction

At Sheet 4, Fig. 4, 4<sup>th</sup> text box, Applicant error, “AUXILLARY” should be -- AUXILIARY --.

At Sheet 10, Fig. 10, the right side header, Applicant error, “Constrain” should be -- Constraint --.

In the Specification:

At page 1, line 29, PTO error, “process;” should be -- process, --.

At page 2, line 32, Applicant error, “multiple output” should be -- multiple-output --.

At page 5, lines 19-20, Applicant error, “multiple output” should be -- multiple-output --.

At page 6, line 11, Applicant error, “an process” should be -- a process --.

At page 6, line 12, Applicant error, “integrate” should be -- integrates --.

At page 6, line 25, Applicant error, “n” should be -- in --.

At page 11, line 16, Applicant error, “and a” should be -- and an --.

At page 14, line 2, Applicant error, “an” should be --a --.

At page 14, line 14, PTO error, “Q=P<sup>t</sup>A\*ΔMV+C<sup>t</sup>ΔMV” should be -- Q=P<sup>t</sup>\*A\*ΔMV+C<sup>t</sup>ΔMV --.

At page 32, line 7, PTO error, “ATA” should be -- A<sup>T</sup>A --.

At page 36, line 14, Applicant error, “ones” should be -- one --.

At page 38, line 7, Applicant error, “an target” should be -- a target --.

In the Claims:

At page 44, Claim 10, line 3, Applicant error, "response information response information" should be -- response information --.

At page 47, Claim 22, line 17 of that page, Applicant error, "variables and;" should be -- variables; and --.

At page 48, Claim 25, line 17, Applicant error, "multiple output" should be -- multiple-output --.

At page 50, Claim 29, line 3 of that page, Applicant error, "multiple output" should be -- multiple-output --.

At page 50, Claim 30, line 7, Applicant error, "multiple output" should be -- multiple-output --.

At page 51, Claim 31, line 7, Applicant error, "multiple output" should be -- multiple-output --.

The errors were found in the application as filed by applicant. Our check in the amount of \$100.00 covering the fee set forth in 37 CFR 1.20(a) is enclosed.

The errors now sought to be corrected are inadvertent typographical errors the correction of which does not involve new matter or require reexamination.

Transmitted herewith is a proposed Certificate of Correction effecting such amendment. Patentee respectfully solicits the granting of the requested Certificate of Correction.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 13-2855, under Order No. 06005/38043A. A duplicate copy of this paper is enclosed.

Dated: February 12, 2007

Respectfully submitted,

By Roger A. Heppermann  
Roger A. Heppermann  
Registration No.: 37,641  
MARSHALL, GERSTEIN & BORUN LLP  
233 S. Wacker Drive, Suite 6300  
Sears Tower  
Chicago, Illinois 60606-6357  
(312) 474-6300  
Attorney for Applicants



<b>Effective on 12/08/2004.</b> <i>Bills pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).</i>		<b>Complete if Known</b>	
		Application Number	Patent#: 7,050,863
		Filing Date	Issued: May 23, 2006
		First Named Inventor	Ashish Mehta
		Examiner Name	Not Yet Assigned
		Art Unit	2122
TOTAL AMOUNT OF PAYMENT	(\$ 100.00)	Attorney Docket No.	06005/38043A

**METHOD OF PAYMENT** (check all that apply)

Check     Credit Card     Money Order     None     Other (please identify): \_\_\_\_\_  
 Deposit Account    Deposit Account Number: 13-2855    Deposit Account Name: MARSHALL, GERSTEIN & BORUN LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below     Charge fee(s) indicated below, except for the filing fee  
 Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17     Credit any overpayments

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

<u>Application Type</u>	<u>FILING FEES</u>		<u>SEARCH FEES</u>		<u>EXAMINATION FEES</u>		
	<u>Fee (\$)</u>	<u>Small Entity Fee (\$)</u>	<u>Fee (\$)</u>	<u>Small Entity Fee (\$)</u>	<u>Fee (\$)</u>	<u>Small Entity Fee (\$)</u>	<u>Fees Paid (\$)</u>
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**Fee Description

Each claim over 20 (including Reissues)

<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Small Entity Fee (\$)</u>	<u>Fee (\$)</u>
				50	25
				200	100
				360	180

Each independent claim over 3 (including Reissues)

Multiple dependent claims

<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>

Multiple Dependent Claims

Fee (\$)    Fee Paid (\$)

<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
- 100 =	/50	(round up to a whole number) x		=

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount).

Other (e.g., late filing surcharge): 1811 Certificate of correction

Fees Paid (\$)

100.00

**SUBMITTED BY**

Signature		Registration No. (Attorney/Agent)	37,641	Telephone	(312) 474-6300
Name (Print/Type)	Roger A. Heppermann			Date	2/12/07

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Attention: Certificate of Correction Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: 2/12/07

Signature:

(Roger A. Heppermann)

FEB 21 2007

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**FEB 21 2007**

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At page 6, line 25, Applicant error, “n” should be -- in --.

At page 11, line 16, Applicant error, “and a” should be -- and an --.

At page 14, line 2, Applicant error, “an” should be --a --.

At page 14, line 14, PTO error, “ $Q=P^t A * \Delta MV + C^t \Delta MV$ ” should be --  $Q=P^t * A * \Delta MV + C^t \Delta MV$  --.

At page 32, line 7, PTO error, “ATA” should be --  $A^T A$  --.

At page 36, line 14, Applicant error, “ones” should be -- one --.

At page 38, line 7, Applicant error, “an target” should be -- a target --.

In the Claims:

At page 44, Claim 10, line 3, Applicant error, “response information response information” should be -- response information --.

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At page 50, Claim 30, line 7, Applicant error, “multiple output” should be -- multiple-output --.

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Transmitted herewith is a proposed Certificate of Correction effecting such amendment. Patentee respectfully solicits the granting of the requested Certificate of Correction.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 13-2855, under Order No. 06005/38043A. A duplicate copy of this paper is enclosed.

Dated: February 12, 2007

Respectfully submitted,

By Roger A. Heppermann  
Roger A. Heppermann  
Registration No.: 37,641  
MARSHALL, GERSTEIN & BORUN LLP  
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Sears Tower  
Chicago, Illinois 60606-6357  
(312) 474-6300  
Attorney for Applicants

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**Page 1 of 2

PATENT NO. : 7,050,863  
APPLICATION NO. : 10/627,362  
ISSUE DATE : May 23, 2006  
INVENTOR(S) : Ashish Mehta et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the First Page:

At line (75), 1<sup>st</sup> Inventor, "Austin" should be -- Round Rock --.

On the Second Page:

At line (56), Other Publications, 18<sup>th</sup> Reference, ";No." should be -- No. --.

In the Drawings:

At Sheet 3, 6<sup>th</sup> text box, "AUXILLARY" should be -- AUXILIARY --.

At Sheet 4, 4<sup>th</sup> text box, "AUXILLARY" should be -- AUXILIARY --.

At Sheet 10, the header on the right side, "Constrain" should be -- Constraint --.

In the Specification:

At Column 1, line 47, "process;" should be -- process, --.

At Column 2, lines 35-36, "multiple output" should be -- multiple-output --.

At Column 4, line 35, "multiple output" should be -- multiple-output --.

At Column 5, line 3, "an process" should be -- a process --.

**MAILING ADDRESS OF SENDER (Please do not use customer number below):**

Roger A. Heppermann  
MARSHALL, GERSTEIN & BORUN LLP  
233 S. Wacker Drive, Suite 6300  
Sears Tower  
Chicago, Illinois 60606-6357

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**Page 2 of 2

At Column 5, line 5, "integrate" should be -- integrates --.

At Column 5, line 24, "n" should be -- in --.

At Column 8, line 55, "and a" should be -- and an --.

At Column 10, line 49, "an" should be --a--.

At Column 10, line 66, " $Q=P^t A * \Delta MV + C^t \Delta MV$ " should be --  $Q=P^t * A * \Delta MV + C^t \Delta MV$  --.

At Column 24, line 11, "ATA" should be --  $A^T A$  --.

At Column 27, line 24, "ones" should be -- one --.

At Column 28, line 43, "an target" should be -- a target --.

**In the Claims:**

At Column 31, line 67, "response information response information" should be -- response information --.

At Column 34, line 7, "variables and;" should be -- variables; and --.

At Column 34, line 64, "multiple output" should be -- multiple-output --.

At Column 35, line 46, "multiple output" should be -- multiple-output --.

At Column 35, line 63, "multiple output" should be -- multiple-output --.

At Column 36, line 35, "multiple output" should be -- multiple-output --.

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Attention: Certificate of Correction Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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(Roger A. Heppermann)

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